



103103 17621 U.S. PTO

# UTILITY APPLICATION

Attorney Docket No.: VALTX.001A

First Named Inventor: Jonathan Kagan

Title: APPARATUS AND METHODS FOR TREATMENT  
OF MORBID OBESITY

Express Mail Label No.: EV 319021955 US

**Direct all correspondence to Customer No.: 20995**

Date: October 31, 2003

Page 1 of 1

**Mail Stop Patent Application**

United States Patent and Trademark Office

PO Box 1450

Alexandria, VA 22313-1450

The following enclosures are transmitted herewith to be filed in the patent application of:

## Inventors:

- |                     |                      |
|---------------------|----------------------|
| 1. Jonathan Kagan   | 2. James Balliro     |
| 3. David Carr-Locke | 4. Mitchell Dann     |
| 5. Lee Guterman     | 6. Sayeed Ikramuddin |
| 7. James Leary      | 8. Richard Thomas    |

**APPLICATION ELEMENTS:**

- (X) Specification in 113 pages.
- (X) Drawings in 64 sheets.
- (X) Declaration by Inventors in 9 pages.

Originally signed

**CONTINUITY INFORMATION:**

Application	Relationship	Parent App. No.	Filing Date	Status
This Application	Non-Provisional	60/422,987	11/1/02	Pending
60/422,987	Provisional	60/430,857	12/03/02	Pending
60/420,857	Provisional	60/437,513	12/30/02	Pending
60/437,513	Provisional	60/448,817	02/21/03	Pending
60/448,817	Provisional	60/480,485	06/21/03	Pending
60/480,485	Provisional	60/428,483	11/22/02	Pending

- (X) Incorporation by Reference. The entire disclosure of the prior applications is considered a part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

**OTHER APPLICATION PARTS:**

- (X) Recordation form cover sheet and Assignment in 9 total pages (cover sheet & assignment).
- (X) Return prepaid postcard.

22241 U.S. PTO  
10/698148

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Date: October 31, 2003 Page 2 of 2	

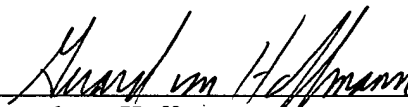
**FILING FEES:**

The present application qualifies for small entity status under 37 C.F.R. § 1.27. The fees are calculated below:

FEE CALCULATION				
FEE TYPE		FEE CODE	CALCULATION	TOTAL
Basic Utility		2001 (\$385)		\$385
Recordation Fee		8021 (\$40)	* x 40 =	\$40
Excess Claims > 20	42 - 20 = 22	2202 (\$9)	22 x 9 =	\$198
Independent > 3	10 - 3 = 7	2201 (\$43)	7 x 43 =	\$301
			<b>TOTAL FEE DUE</b>	<b>\$924.00</b>

(X) A check in the amount of **\$924** to cover the Total Fee Due is enclosed.

The Commissioner is hereby authorized to charge any additional fees which may be required, now or in the future, or credit any overpayment to Account No. 11-1410.

  
 Gerard von Hoffmann  
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Gerard von Hoffmann

MAIL STOP PATENT APPLICATION  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**CERTIFICATE OF MAILING BY "EXPRESS MAIL"**

**Attorney Docket No.** : VALTX.001A  
**Applicants** : Jonathan Kagan et al.  
**For** : APPARATUS AND METHODS FOR  
TREATMENT OF MORBID OBESITY  
**Attorney** : Gerard von Hoffmann  
**"Express Mail"**  
**Mailing Label No.** : EV 319021955 US  
**Date of Deposit** : October 31, 2003

I hereby certify that the accompanying

Transmittal letter; specification in 113 pages; 64 sheets of drawings; **SIGNED**  
Declaration by Inventor in 9 pages; Recordation Form Cover Sheet and  
Assignment in 9 pages; Check for Filing Fee; Return Prepaid Postcard

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and are addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

  
NELSON MERIDA

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